

UNITED STATES CONTINUING UTILITY PATENT APPLICATION  
under 37 C.F.R. § 1.53(b)

Atty. Docket No. 01107.00042

Assistant Commissioner of Patents  
Box Patent Applications  
Washington, D.C. 20231

Enclosed herewith is a continuing patent application and the following papers:

First Named Inventor (or application identifier): Jonathan Schneck

Title of Invention: Molecular Complexes which Modify Immune Responses

- ☐ Continuation  
☒ Divisional  
☐ Continuation-in-Part

of prior application No. 09/063,276, filed April 21, 1998

1. ☒ Specification 63 pages (including specification, claims, abstract) / 50 claims (12 independent)

2. ☒ Declaration/Power of Attorney:

- ☒ Copy from Prior Application (for continuation or divisional application)  
☐ Newly Executed Declaration (for CIP application)  
☐ Deferred under 37 C.F.R. § 1.53(f)

☐ Deletion of Inventor(s) - Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b)

☒ Incorporation by Reference - The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein

3. ☒ 21 Distinct sheets of ☒ Formal ☐ Informal Drawings

4. ☒ Preliminary Amendment

5. ☒ Information Disclosure Statement

- ☒ Form 1449  
☐ A copy of each cited prior art reference

6. ☒ Assignment

- ☐ Assignment with Cover Sheet attached  
☒ Assignment filed in prior application. Application assigned to: Johns Hopkins University

08/22/00  
15715 U.S. PTO

15715 U.S. PTO  
09/642660  
08/22/00

09642660-082200

**UNITED STATES CONTINUING UTILITY PATENT APPLICATION**  
under 37 C.F.R. § 1.53(b)

Atty. Docket No. 01107.00042

Page 2

7. ☒ Priority is hereby claimed under 35 U.S.C. § 119 based upon the following application(s):

Country	Application Number	Date of Filing (day, month, year)
US	60/014,367	28 March 1996

- 7a. ☒ Priority is hereby claimed under 35 U.S.C. § 120 based upon the following application(s):

Country	Application Number	Date of Filing (day, month, year)
US	08/828,172	28 March 1997

8. ☐ Priority Document(s)
- ☐ was filed in prior application
  - ☐ is attached
  - ☐ will be submitted prior to or concurrently with the payment of any issue fee for the instant application

9. ☒ Small Entity Statement
- ☐ Small Entity Statement was filed in prior application, Small Entity Status is still proper and desired
  - ☐ is attached
  - ☒ is no longer claimed

10. ☐ Microfiche Computer Program (Appendix)

11. ☒ Nucleotide and/or Amino Acid Sequence Submission
- ☐ Computer Readable Copy
  - ☒ Paper Copy (identical to computer copy)
  - ☒ Statement verifying identity of above copies

002280-09224960

**UNITED STATES CONTINUING UTILITY PATENT APPLICATION**  
under 37 C.F.R. § 1.53(b)

Atty. Docket No. 01107.00042

Page 3

12. Calculation of Fees:

FEES FOR	EXCESS CLAIMS	FEE	AMOUNT DUE
Basic Filing Fee (37 C.F.R. § 1.16(a))			\$690.00
Total Claims in Excess of 20 (37 C.F.R. § 1.16(c))	30	18.00	\$540.00
Independent Claims in Excess of 3 (37 C.F.R. § 1.16(b))	9	78.00	\$702.00
Multiple Dependent Claims (37 C.F.R. § 1.16(d))	0	260.00	\$0.00
Subtotal - Filing Fee Due			\$1,932.00
	MULTIPLY BY		
Reduction by 50%, if Small Entity (37 C.F.R. §§ 1.9, 1.27, 1.28)	0.50		\$966.00
<b>TOTAL FILING FEE DUE</b>			\$966.00
Assignment Recordation Fee (if applicable) (37 C.F.R. § 1.21(h))	0	40.00	\$0.00
<b>GRAND TOTAL DUE</b>			<b>\$966.00</b>

13. PAYMENT is:

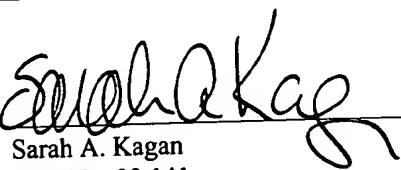
- ☐ included in the amount of the GRAND TOTAL by our enclosed check. A general authorization under 37 C.F.R. § 1.25(b), second sentence, is hereby given to credit or debit our Deposit Account No. 19-0733 for the instant filing and for any other fees during the pendency of this application under 37 C.F.R. §§ 1.16, 1.17 and 1.18
- ☒ not included, but deferred under 37 C.F.R. § 1.53(f).

14. All correspondence for the attached application should be directed to:

Banner & Witcoff, Ltd.  
1001 G Street, N.W.  
Washington, D. C. 20001-4597  
Telephone: (202) 508-9100  
Facsimile: (202) 508-9299

15. Other: \_\_\_\_\_

Date: August 22, 2000

By:   
Sarah A. Kagan  
Reg. No. 32,141

SAK/ama

09642660-082200